KATHARINA PISTOR

EDWIN B. PARKER PROFESSOR OF COMPARATIVE LAW

COLUMBIA LAW SCHOOL 435 West 116th Street New, York, NY 10027 Tel.: 212-854-0068 Fax: 212-854-7946 kpisto@law.columbia.edu

personal Address 25 Claremont Avenue #11B New York, NY 10027

PERSONAL

Born: 23 May 1963 in Freiburg, Germany.

Married to Carsten G. Bonnemann, MD.

Languages: German (native), English (proficient), French, Russian.

EMPLOYMENT

COLUMBIA LAW SCHOOL

Edwin B. Parker Professor of Comparative Law Since 2018

Walter E. Meyer Research Professor in Law & Social Problems For Academic Year 2014/15

Michael I. Sovern Professor of Law September 2008 - 2018

Professor of Law
January 2005 - 2008

Associate Professor of Law July 2001 – December 2004

KENNEDY SCHOOL OF GOVERNMENT, HARVARD UNIVERSITY

Assistant Professor for Public Policy January 2000 – June 2001

MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW, HAMBURG

Research Associate
August 1998 – December1999

HARVARD LAW SCHOOL

Lecturer on Law Winter 1998 - 99

Lecturer on Law 1994 -1995

HARVARD INSTITUTE FOR INTERNATIONAL DEVELOPMENT

Research Associate
October 1995 – June 1998

CENTRAL EUROPEAN UNIVERSITY PRIVATIZATION PROJECT

Senior Research Fellow 1993-1995

VISITING POSITIONS

MAX PLANCK INSTITUTE FOR FOREIGN AND INTERNATIONAL COMPARATIVE LAW & INSTITUTE FOR SOCIAL RESEARCH, HAMBURG/DE (virtually)

Visiting Research Professor May 2021

UNIVERSITÉ DAUPHINE, FRANCE

Visiting Professor September 2019

HARVARD LAW SCHOOL

Nomura Visiting Professor of International Systems Spring term 2019

TEL AVIV UNIVERSITY, ISRAEL

Visiting Professor, May 2018

GOETHE UNIVERSITY FRANKFURT

SAFE Visiting Research Professor November/December 2015

OXFORD UNIVERSITY

Visiting Research Professor June 2015

LONDON SCHOOL OF ECONOMICS (UK)

Visiting Research Professor

March 2015

ST GALLEN UNIVERSITY (SWITZERLAND)

Visiting Professor May 2014

FRANKFURT UNIVERSITY, PROGRAM ON THE LAW AND ECONOMICS OF MONEY AND FINANCE

Visiting Professor
December 2012

INTERDISCIPLINARY CENTER, HERZILIYA (ISRAEL)

Visiting Professor May 2010

NYU LAW SCHOOL

Visiting Professor Fall Term 2009

EUROPEAN CORPORATE GOVERNANCE TRAINING NETWORK

First Summer School, Amsterdam 12-21 June 2006

Visiting Professor

June 12-14 2006 (taught course on comparative corporate law)

INSTITUTE FOR LAW AND FINANCE, FRANKFURT UNIVERSITY, GERMANY

Visiting Professor

December 2004/January 2005

UNIVERSITY OF PENNSYLVANIA LAW SCHOOL

Visiting Professor
Spring Semester 2004

STUDY CENTER AT GERZENSEE, SWITZERLAND

Visiting Professor June, 2003

EDUCATION

<u>Dr. jur.</u> (JSD) UNIVERSITY OF MUNICH, FACULTY OF LAW

1998 Dissertation: Property Rights Reform with Institutional Investors - A Legal and Economic Analysis of Mass Privatization in Russia and the Czech Republic (in

German). Supervisor: Professor Dr. Dr. Klaus J. Hopt, Director Max Planck

Institute for International and Comparative Law, Hamburg.

MPA KENNEDY SCHOOL OF GOVERNMENT, HARVARD UNIVERSITY

1992-1994 Concentration on legal and economic aspects of transition economies.

<u>Assessor</u> State of Hamburg

1989-1992 Second Juridical State Examination following three years of practical legal

with high honors training.

LL.M. UNIVERSITY OF LONDON

1988-1989 Specialization in comparative law with special emphasis on Chinese and Soviet

with distinction law.

<u>Juridical Degree</u> UNIVERSITY OF FREIBURG

1982-1988 First Juridical State Examination in German law. with honors

HONORS, AWARDS & SCHOLARSHIPS

2021	ELECTED MEMBER OF THE EUROPEAN ACADEMY OF SCIENCES
2020	DOCTOR HONORIS CAUSA Erasmus University Rotterdam, The Netherlands
SINCE 2019	FELLOW OF THE EUROPEAN CORPORATE GOVERNANCE INSTITUTE; PREVIOUSLY RESEARCH MEMBER.
SINCE 2015	ELECTED MEMBER OF THE BERLIN-BRANDENBURG ACADEMY OF SCIENCE
2014	EUROPEAN CORPORATE GOVERNANCE INSTITUTE – ALLEN & OVERY BEST PAPER PRIZE for the paper "A Legal Theory of Finance", Journal of Comparative Economics, 2013.
2012	MAX PLANCK RESEARCH AWARD ON INTERNATIONAL FINANCIAL REGULATION sponsored by the Humboldt Foundation and the Max Planck Society
2003	HESSEL YNTEMA PRIZE FOR YOUNG SCHOLARS IN COMPARATIVE LAW
1992-1994	McCloy Scholar, Harvard University Scholarship for Master Program at the Kennedy School of Government
1988-1989	GERMAN ACADEMIC EXCHANGE SERVICE (DAAD) Scholarship for LLM program at London University
1983-1988	GERMAN NATIONAL SCHOLARSHIP FOUNDATION (STUDIENSTIFTUNG DES DEUTSCHEN VOLKES) Scholarship of Excellence

AFFILIATIONS

SINCE 2021	Founder & Director of the Columbia (online) Academy on Law in Global Affairs (CALGA)	
SINCE 2020	VICE-CHAIR SCIENTIFIC ADVISORY COMMITTEE, SAFE/LEIBNIZ INSTITUTE, GOETHE UNIVERSITY, FRANKFURT	
2019-22 CHAIR:	ADVISORY BOARD OF COLUMBIA'S GLOBAL CENTER IN PARIS AND REID HALL	
SINCE 2014	PRESIDENT, WORLD INTERDISCIPLINARY NETWORK FOR INSTITUTIONAL RESEARCH	
SINCE 2013	MEMBER, BOARD OF GOVERNORS, ITALIAN ACADEMY, COLUMBIA UNIVERSITY	
2011 - 2018	MEMBER, BOARD OF ADVISORS, BUCERIUS LAW SCHOOL, HAMBURG	
2011 - 2014	MEMBER, BOARD OF DIRECTORS, EUROPEAN CORPORATE GOVERNANCE INSTITUTE	
Since 2010	Founder and Director, Center on Global Legal Transformation, COLUMBIA LAW SCHOOL	
SINCE 2008	FELLOW, NASDAQ PROGRAM IN THE LAW AND ECONOMICS OF CAPITAL MARKETS	
2005- 2014	Member of the Committee on Global Thought, Columbia University (rejoined 2020)	
SINCE 2005	RESEARCH ASSOCIATE, CENTER OF ECONOMIC POLICY RESEARCH (CEPR), LONDON	
2005-2007	ELECTED MEMBER OF THE BOARD, AMERICAN ASSOCIATION OF LAW AND ECONOMICS	
SINCE 2001	Affiliate faculty, harriman institute, Columbia University	
<u>GRANTS</u>		
Since 2019	INSTITUTE FOR NEW ECONOMIC THINKING: "The Properties of Data" \$51.000 start-up grant.	
2013 – 2019	INSTITUTE FOR NEW ECONOMIC THINKING. Thinking US\$450,000. Global Law and Finance Network (GLawFiN).	
2013-2017	MAX PLANCK SOCIETY and HUMBOLDT FOUNDATION. Total amount €750,000	

2013 NATIONAL SCIENCE FOUNDATION for Conference on Governance Access to

Financial Resources

2011- 2013 INSTITUTE FOR NEW ECONOMIC THINKING. \$200,000 for the Global Finance and

Law Initiative

INVITED LECTURES, WORKSHOPS, AND CONFERENCES

(in reverse chronological order)

2022

- Mar. New York Law School, Private Law Theory Seminar. Presentation "The Laws of Capitalism"
- Feb. Hamburg University. European Masters in Law and Economics Midterm Keynote. "The Laws of Capitalism".

2021

- Dec. APPEAL (Association for the Promotion of Political Economy and the Law). Lecture "The Political Economy of Capital" (via zoom)
- Nov. UNIVERSITÄT HANNOVER "Die Anwaltschaft im Blick der Wissenschaft". Vortrag "Die Herren des Rechts". (via zoom)
 - AMDEC (Assozicione Marcello de Cecco), Lecture "50 years of Economic Thinking on Finance" (via zoom)
 - EUROPEAN CENTRAL BANK. Presentation "Lending, Liquidity, and the Law". (via zoom)
- Oct. ERASMUS UNIVERSITY, ROTTERDAM. Lecture on the occasion of the honorary doctorate (awarded 2020) "Greening the Economy: Gimmick or Game Changer?"
 - Rome, Italy. INTERNATIONAL FESTIVAL OF DIPLOMACY. Keynote "The Code of Capital"
 - Torino, Italy. INTERNATIONAL BOOK FAIR. Panel Discussion "The Code of Capital"
- Sept. LEIDEN UNIVERSITY (NL). Lecture "Coding Capital The Role of the Lawyers." (via zoom)
 - HONG KONG UNIVERSITY. Lecture "The Code of Capital" (via zoom)
 - FS CLUB LISSABON. Lecture "The Code of Capital" (via zoom)

July UNIVERSITÄT JENA & UNIVERSITÄT ERFURT: Vortrag "Der Code des Kapitals" zum Auftakt (via zoom)

UNIVERSITIES LEEDS AND MANNHEIM (joint seminar on law, money, and technology): Lecture "The Code of Capital" (via zoom)

June STOREP '21: (ITALIAN ASSOCIATION FOR ECONOMIC HISTORY) Lecture: "Coding Capital: The Legal Origins of Private Wealth" (via zoom)

INSTITUTE LAW AND SOCIETY. Vortrag "Der Code des Kapitals" (via zoom)

UNIVERSITÄT FLENSBURG unter Beteiligung der UNIVERSITÄT FRANKFURT: Seminar "Der Code des Kapitals" (via zoom)

NAUMANN STIFTUNG. Vortrage "Ein Recht auf Recht". (via zoom)

May UNIVERSITY OF ZURICH (UZH). Public Lecture "Der Code des Kapitals: Wie das Recht Reichtum und Ungleichheit schafft" (via zoom)

MAX PLANCK INSTITUTE FOR FOREIGN AND COMPARATIVE PRIVATE LAW, HAMBURG Rabel-Lecture "Rechtsvergleichung zwischen Transaktionskosten- und Politischer Ökonomie am Beispiel der Kapitalgesellschaft" (Comparative Law between Transaction Cost and Political Economy: The Case of Corporate Law) (via zoom)

April INSTITUTE FOR SOCIAL RESEARCH, HAMBURG GERMANY
LECTURE TO COMMEMORATE THE 100TH ANNIVERSARY OF MAX WEBER'S DEATH
"Private Power and State Coercion: 21st Century Perspectives on Max Weber's Legal Sociology" (via zoom)

Mar EXPLORING ECONOMICS, BRITISH CIVIL SERVANT NETWORK

Presentation: "The Code of Capital" (via zoom)

EUROPEAN INSTITUTE, COLUMBIA UNIVERSITY Panel Discussion: Brexit (via zoom)

Feb JULIS-RABINOWITZ CENTER FOR PUBLIC POLICY AND FINANCE, PRINCETON UNIVERSITY. ZOOM CONFERENCE: HEALING THE BIG FRACTURES IN THE ECONOMY, POLITICS AND SOCIETY Panel Discussion: Making Growth more Equitable (via zoom).

INFRAMARGINALISM AND INTERNET CONFERENCE Presentation: "The Code of Capital" (via zoom).

CHICAGO LAW SCHOOL, LAW & ECON WORKSHOP Presentation: "Rule by Data: The End of Markets?" m(via zoom)

DUNDEE LAW SCHOOL

Presentation: "The Code of Capital" (via zoom)

FERRARA FESTIVAL

Presentation: "The Code of Capital" (Italian Translation) (via zoom)

EUROPEAN UNIVERSITY INSTITUTE, SCHUMANN CENTER

Presentation: "The Code of Capital" (via zoom)

Jan CLUB OF VIENNA

Presentation: "The Code of Capital" (via zoom)

AUSTRIAN CENTRAL BANK

Presentation: "The Code of Capital" (via zoom)

2020

Dec LAW AND ECONOMIC'S ASSOCIATION, INDIA

Presentation: "The Code of Capital" (via zoom)

SAFRA CENTER, TEL AVIV UNIVERSITY

Book Launch: "The Code of Capital" (via zoom)

SCIENCE PO, PARIS

Law and Inequality: Debate Katharina Pistor and Thomas Piketty (via zoom)

Nov Hamburg University, Germany

Presentation: "Rule by Data: The End of Markets" (via zoom)

FRAUENHOFER INSTITUTE, UNIVERSITY OF SIEGEN, GERMANY Presentation: "Rule by Data: The End of Markets" (via zoom)

BOELL FOUNDATION, BERLIN GERMANY

Presentation: "The Code of Capital"

ERASMUS UNIVERSITY OF ROTTERDAM

"On Power in Private Law", Lecture on the occasion of receiving an Honorary Doctoarte from the University of Rotterdam

Oct FINTECH AND THE FUTURE OF PAYMENTS, BERKELEY

Invited Participant (via zoom)

TAX JUSTICE WORKSHOP

Panel Discussion: Law as a source of Inequality (via zoom)

Sept. 4th Lisbon Conference: The acceleration of Global Change.

Presentation: "The Growth Inequality Dilemma" (via zoom).

JULIS-RABINOWITZ CENTER FOR PUBLIC POLICY AND FINANCE, PRINCETON UNIVERsity. Presentation: "The Code of Capital" (via zoom).

MATRIX DISTINGUISHED LECTURE, UNIVERSITY OF BERKELEY.

Presentation: "The Code of Capital" (via zoom).

Jun EU COMMISSION (DIRECTORATE ECONOMIC AND FINANCIAL AFFAIRS).

Presentation: "The Code of Capital" (via zoom)

WIT'S UNIVERSITY, SOUTH AFRICA.

Presentation "The Code of Capital" (via zoom).

Mar EAST COAST POLITICAL ECONOMY CONSORTIUM (ECPEC) (Temple Law School): Presentation:

"Statehood in the Digital Age"

BROOKLYN LAW SCHOOL: Brooklyn Lecture on International Business Law:

"The Code of Capital"

Feb SCIENCE PO, TOULOUSE (France). ENDOWED UNICEF BERTRAND MARIS LECTURE

Presentation "The Code of Capital".

EUROPEAN BANKING INSTITUTE (Frankfurt)

Panel Presentation: Limiting Limited Liability

BERKELEY LAW SCHOOL: INAUGURAL TRAGEN LECTURE ON COMPARATIVE LAW: "Towards

Comparative Legal Institutionalism"

HASTINGS LAW SCHOOL, San Francisco.

Book Presentation "The Code of Capital"

KADISH CENTER AT BERKELEY:

Presentation "Statehood in the Digital Age"

Jan DUKE UNIVERSITY LAW SCHOOL. Book Presentation "The Code of Capital"

WORLD ECONOMIC FORUM, Davos (Switzerland). Hub: Book Presentation "The Code of Capital"

2019

Dec ANNUAL MEETING OF THE ITALIAN ASSOCIATION FOR LAW AND ECONOMICS, Milan, Italy.

Keynote: Book Presentation "The Code of Capital"

GLASGOW UNIVERSITY LAW SCHOOL: Book Presentation "The Code of Capital

4th Annual Conference of the FINANCE AND SOCIETY NETWORK, London. Keynote: Book

Presentation "The Code of Capital"

MAX PLANCK INSTITUTE FOR SOCIAL RESEARCH, Cologne. Book Presentation "The Code of

Capital"

Nov YALE LAW SCHOOL, Legal Theory Workshop. Book Presentation "The Code of Capital"

BROWN UNIVERSITY. Book Presentation "The Code of Capital"

CHICAGO BUSINESS SCHOOL. Book Presentation "The Code of Capital"

INSTITUTE FOR ECONOMIC RESEARCH (IfO), Munich. Book Presentation "The Code of Capital"

TECHNICAL UNIVERSITY OF MUNICH. Book Presentation "The Code of Capital"

GOETHE UNIVERSITY, INSTITUTE FOR HISTORY OF BANKING AND FINANCE. Book Presentation "The Code of Capital"

UNIVERSITY OF BONN. Book Presentation "The Code of Capital"

EU COMMISSION, DG FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION, Brussels. Book Presentation "The Code of Capital"

UNIVERSITY OF LEUVEN, Brussels Campus. Book Presentation "The Code of Capital"

Oct AMSTERDAM UNIVERSITY LAW SCHOOL. Book Presentation "The Code of Capital"

COLUMBIA UNIVERSITY, COMMITTEE ON GLOBAL THOUGHT. Book Presentations: "The Code of Capital"

GEORGE MASON UNIVERSITY, COLUMBIA LAW SCHOOL (FACULTY TALK), COMMITTEE ON GLOBAL THOUGHT (CU), IGLP (HARVARD LAW SCHOOL), AMSTERDAM UNIVERSITY, Book Presentations: "The Code of Capital"

Sept. DELPHINE UNIVERSITY. Paper presentation "Towards Comparative Legal Institutionalism"; book presentation: "The Code of Capital"

INSTITUTE FOR IDEAS AND IMAGINATION, CU (Paris). Book presentation: "The Code of Capital"

PARIS SCHOOL OF ECONOMICS, World Inequality Lab. Book presentation: "The Code of Capital"

Aug INET AND UNIVERSITY OF HANOI (Vietnam) "Young Scholars Initiative Asia Convening", Book presentation: The Code of Capital

UNIVERSITY OF SAO PAULO AND WINIR (Brazil), "Law and Development: Perspectives from the South"; Paper presentation: "Towards Comparative Legal Institutionalism"; book presentation: "The Code of Capital"

July CIEL 2019, International Congress on Legal Strategy (Dominican Republic). Book presentation: "The Code of Capital".

of the XXI century". Book presentation: "The Code of Capital". FONDAZIONE BASSO, Rome (Italy): Book presentation "The Code of Capital". SOCIETY FOR THE ADVANCEMENT OF SOCIO-ECONOMICS (SASE) Annual Conference; book June presentation "The Code of Capital". IMF & FEDERAL RESERVE BANK Conference "Policy Challenges for the Financial Sector"; book presentation "The Code of Capital". April HARVARD LAW SCHOOL, Faculty Workshop: "The False Promise of Legal Certainty". HARVARD LAW SCHOOL, Law & Economics Workshop: "Law, Liquidity and Lending" (with Guilherme de Olivera) Feb MINNESOTA CENTER FOR FINANCIAL AND ACTUARIAL MATHEMATICS (UM); Keynote: "Coding Capital: The Legal vs. the Digital Code" 2018 HARVARD LAW SCHOOL; Conference "Money as a Democratic Medium"; Presentation on Dec "Monetary Sovereignty, Democracy and Economic Development" Oct ALEXANDER VON HUMBOLDT FOUNDATION, Bonn (Germany): Keynote "The Future of Comparative Law" UNIVERSITAT POMPEU FABRA, Barcelona (Spain) Workshop "Monetary Policy and Distributive July Justice"; presentation "A Legal Theory of Finance" June BERLIN-BRANDENBURG ACADEMY OF SCIENCE: Chaired Workshop of Global Finance and Governance TEL AVIV UNIVERSITY: Law, Liquidity and Lending (paper co-authored with Guilherme de Olivera) May Mar NORTHWESTERN UNIVERSITY: Financialization and Market Governance Conference Presentation: Our Two Laws of Finance: Public and Private 2017 Jan GAIDAR FORUM, MOSCOW. Panel on Financial Market Regulation and Governance NAMUR, BELGIUM. Economic Development and Institutions (EDI); member of international Feb research consortium. Participated in panel on 'state and governance'. May NORTHWESTERN UNIVERSITY, Lecture on Law and Global Capitalism GLOBAL CORPORATE GOVERNANCE NETWORK. Tokyo, Japan June Presentation: "Minting Capital: The Role of the Corporation"

UNIVERSITY OF TRENTO, Workshop "Innovations in governance, management and law for the firm

- ICON (INTERNATIONAL SOCIETY OF PUBLIC LAW) July Panelist on Global Economic Injustice Oct FGV SAO PAOLO; 10th anniversary of Law and Development Program Keynote Speaker "Law and Development in the Global Age" BBAW, Berlin Oct Invited Keynote Speaker "Recht Zwischen Fiktion und Wirklichkeit" (Law between Fiction and Reality) Dec CU CENTER FOR SCIENCE AND SOCIETY: The Success of Failure Speaker on Failure in Finance CU Conference "Ten Years after the Financial Crisis" Dec Comment on Glenn Hubbard "If it Happened Again - A Roadmap for Financial Reform" 2016 Feb Berlin (Germany) Inauguration Lecture as new member of the BERLIN-BRANDENBURG ACADEMY OF SCIENCE: The Legal Code of Capital: Liquidity and Globalization Feb Leuven, Belgium. Dieter Heremans Fund Lecture in Law and Economics, UNIVERSITY OF LEUVEN, February 2016 Hong Kong. Conference on the Political Economy of Financial Regulation at the CHINESE June UNIVERSITY OF HONG KONG. Keynote Lecture on "The Legal Hierarchy of Global Money". Paris (France), SORBONNE AND COLUMBIA UNIVERSITY. Conference on Pluralism. Presented paper June on "Legal Particularism" New Delhi, (India), NATIONAL INSTITUTE OF PUBLIC FINANCE AND POLICY. Lecture on "Financial Sept. Regulation under Incomplete Law". 2015 Jan
- Meeting of Scientific Council of the INTERNATIONAL PANEL FOR SOCIAL PROGRESS (IPSP) in Paris (attended as member of the Scientific Council)
- March Keynote Speaker at LONDON SCHOOL OF ECONOMICS (LSE) Event on "The Law, Finance and the Abyss"
- March Co-organized event at SIDLEY& AUSTIN (NEW YORK) on the Legal Theory of Finance
- Organized Event on "Global Finance and Transitions" as part of the HARRIMAN INSTITUTE'S Core April Project on 25 Years of Transition

May Organized Event on "To Stay or Not to Stay" with leading practitioners and policy advisors on the future of OTC Markets, COLUMBIA LAW SCHOOL

June Presentation at OXFORD UNIVERSITY: "The Recoding of Capital"

June Presentation at IMPERIAL COLLEGE LONDON: "Law and Liquidity"

Sept. WINIR Annual Meeting in Rio de Janeiro. Presentation on "Law and Liquidity"

Nov. Presentation at GOETHE UNIVERSITY FRANKFURT: "Law and Liquidity"

Dec. Presentation at ETHZ Zurich, "Law and Liquidity" and "A Code for the Globe"

Dec. Co-organized conference at Goethe University Frankfurt on "Finance between Liquidity and Insolvency"

2014

Jan Organized and taught in Winter School on Law and Finance at Columbia University's Global Center in Paris

Organized workshop on "Justice and Finance" at Columbia University's Global Center in Paris

March APU UNIVERSITY, BANGALORE, INDIA: Lecture on "Teaching Law and Development"

NATIONAL INSTITUTE FOR ADVANCED STUDIES & COLUMBIA'S GLOBAL CENTER FOR SOUTH ASIA

Organized Teach-ins on Governing Access to Essential Resources in Bangalore and Mumbai (India)

STUDIO X & COLUMBIA'S GLOBAL CENTER FOR SOUTH ASIA

Keynote lecture jointly with Anupama Rao on Land and Water Management,

Organized Roundtable on Governing Land and Water Resources.

April European Corporate Governance Institute, Brussels, Belgium

European Corporate Governance Institute, Brussels Belgium: Address on the Legal Theory of Finance to acknowledge Allen & Overy Prize (2014).

University of Frankfurt

Keynote Address: "Law and Finance: New Directions" in celebration of the 100th anniversary of the university's founding.

June CHICAGO FEDERAL RESERVE

The Legal Theory of Finance

COLUMBIA'S GLOBAL CENTER IN ISTANBUL, TURKEY On the Tragedy of Exclusion

TRENTO, SUMMER SCHOOL ON FINANCIAL CRISIS

Lectures on Law and Finance Paradox and Law and Liquidity

July SOCIETY FOR THE ADVANCEMENT OF SOCIOECONOMICS Keynote Speaker on "The Legal Foundations of Capitalism"

Sept. WORLD INTERDISCIPLINARY NETWORK FOR INSTITUTIONAL RESEARCH (WINIR) Keynote Speaker: "The Dialectics of Law & Capitalism"

Oct. ECMI Conference: A New Action Plan for Europe's Financial Market Panelist: "Law & Liquidity"

2013

Feb CORNELL UNIVERSITY LAW SCHOOL

Conference on "The Changing Politics of Central Banks" Panel discussant on Central banks and international governance

Mar COLUMBIA UNIVERSITY, CENTER ON ECONOMIC GOVERNANCE

Comments on the Hertie Report on Governance

May STANFORD UNIVERSITY LAW SCHOOL

Presentation to Faculty "Legal Theory of Finance"

Sept. TULANE LAW SCHOOL

Presentation to Faculty "Legal Theory of Finance"

Nov. SSPA, ROME

Presentation with Fabrizio Cafaggi, "Regulatory Capabilities"

Dec. SAO PAOLO, BRAZIL

Presentation Book draft "The Euro: The Legal Construction of and Idea"

RIO DE JANEIRO, BRAZIL

Presentation with Curtis Milhaupt, "State Owned Enterprises in the 21st Century"

CENTER FOR ADVANCED STUDIES, MUNICH UNIVERSITY, GERMANY Presentation Stabilization through Integration: Challenges for the Eurozone

2012

Feb. Interamerican Development Bank, Washington DC

2nd Conference on Transnational Regimes

Presentation: Transnational Integration Regimes in Finance

April INET 3rd Annual Conference, Berlin 11-12 April 2012

Presentation: Real vs. Imagined Markets: The Regulatory Challenges

May University of Pennsylvania: Program on Corporation and Citizens

Presentation: Multinational Corporations as Regulators and Central Planners: Implications for Citizens' Voice

SSPA in Rome, Italy: Co-Chair (with Fabrizio Cafaggi) of Conference on the Distributional Effects of Transnational Regulation

Presentation: Regulatory Capabilities (with F. Cafaggi)

High School of Economics, Moscow Conference on Open Access Orders

Presentation: State Law in a Globalizing World: Control, Empowerment or Submission

June INSTITUTE FOR GLOBAL LAW AND POLICY, Harvard Law School

Proseminar on Heterodox Law and Development

Presentation: Regulatory Capabilities (co-authored with F. Cafaggi)

CLEF Annual Meeting, Berkeley

Presentation: The Theoretical Foundations of Financial Regulation: What if it is not all about information costs?

Oct UNIVERSITY OF LOUVAIN, BELGIUM

Democracy and the Market, Shifting Balances, Shifting Perspectives

Presentation: Towards a Legal Theory of Finance

UNIVERSITY OF BONN, GERMANY

Presentation: Towards a Legal Theory of Finance

HUMBOLDT UNIVERSITY BERLIN, GERMANY

Presentation: The Law and Finance Paradox

HUMBOLDT FOUNDATION & MAX PLANCK SOCIETY, GERMANY

Award speech: "Law and Economics: From the Economic Analysis of Law to the Legal Analysis of the Economy"

Nov. CIGI & INET, Waterloo, Canada

Young Scholar Initiative Conference

Presentation: Dichotomies in Law and Finance

Dec. University of Frankfurt, House of Finance, Germany

Presentation: European Banking Union - the Hazards of Piecemeal Reform

2011

April European University Institute, Florence, Italy

Conference on Transnational Integration Regimes

Presentation: How (not) to Govern Transnational Integrated Markets

June HILL FOUNDATION, THE HAGUE, NETHERLANDS

Presentation: Perspectives on Private Regulation

SOCIETY FOR THE ADVANCEMENT OF SOCIOECONOMICS, MADRID, SPAIN

Presentation: The Global Finance and Law Inititiative

July INTERNATIONAL ASSOCIATION OF ECONOMICS, BEIJING, CHINA

Annual Conference

Presentation: The Plasticity of Corporate Law

ASIAN LAW AND ECOOMICS ASSOCIATION, HONG KONG, CHINA

Keynote Address: Governing Interdependent Financial Markets: Challenges for Law and Economics

TAIPEI TAIWAN

Lecture at Stock Exchange: Corporate Governance in the Age of Globalization

Oct. PARIS

CONFERENCE ON SOVEREIGN WEALTH FUNDS
Panel on Transitional Economies in Northern Africa

ROME

KEYNOTE ADDRESS AT SSPA: Institutional Capabilities

EUI, FLORENCE

Presentation: Stabilizing Global Financial Markets

2010

Feb. HARVARD LAW SCHOOL (LAW & ECONOMICS SEMINAR)

Presentation: Host's Dilemma

March GERMAN ASSOCIATION ON LAW AND SOCIETY (BREMEN, GERMANY)

Presentation: Governing Global Finance

May EUROPEAN UNIVERSITY INSTITUTE (FLORENCE, ITALY)

Co-organizer of Workshop on Assessing Private Regulation

June CLEF, Yale Law School (New Haven)

Presentation: Governing Global Finance in an Interdependent World

CEPR, ECGI, EU PRESIDENCY, (MADRID, SPAIN)

Presentation: Host's Dilemma: Rethinking EU Banking Regulation in Light of the Global Crisis (competitive selection)

Oct. HUMBOLDT UNIVERSITY, BERLIN, GERMANY

Conference on Contract Governance

Presentation: Governing Interdependent Financial Markets – Towards Contract Governance

COLUMBIA UNIVERSITY: SOVEREIGN WEALTH FUNDS AND OTHER LONG TERM INVESTORS Presentation: Maximizing Autonomy in the Shadow of Great Powers: The Political Economy of Sovereign Wealth Funds

COLUMBIA LAW SCHOOL, FACULTY LUNCH

Presentation: Governing Financial Interdependence: Lessons from the Vienna Initiative

Nov HARVARD LAW SCHOOL, SEMINAR ON LAW AND DEVELOPMENT

Presentation: Resolving Contested Property Rights

Dec. SACKLER SYMPOSIUM, NATIONAL ACADEMY OF SCIENCES, IRVINE CA

Conference on Dynamics of Social, Political and Economic Institutions

Presentation: Resolving Contested Property Rights – Beyond Institutionalism

2009

Jan. UNIVERSITY OF VIRGINIA LAW SCHOOL

Presentation: Sovereign Wealth Funds and Global Finance

Feb. MIAMI LAW SCHOOL

Presentation: Sovereign Wealth Funds and Global Finance

MINNESOTA LAW SCHOOL

Presentation: Sovereign Wealth Funds and Global Finance

BRIGHAM YOUNG UNIVERSITY, UTAH

Presentation: Law and Finance – A Critique

Apr. NBER, CAMBRIDGE MA

Pre-Conference "Capitalizing China"

Presentation: Governing China's finances

May UNIVERSITY OF FRANKFURT, GERMANY

Law and Economics of money and finance in times of financial crisis

Presentation: Global Network Finance

Jun. CHINA ECONOMIC SUMMER INSTITUTE, BEIJING

Presentation: Banking Reform and Bank Bail-outs in the Chinese Mirror

PEOPLES' BANK OF CHINA, BEIJING

Presentation: Incomplete Legal Integration: Implications for the Governance of Complex Financial

Intermediaries

RENMIN UNIVERSITY SCHOOL OF LAW, BEIJING

Presentation: Banking Reform and Bank Bail-outs in the Chinese Mirror

Sept. GERMAN ASSOCIATION FOR COMPARATIVE LAW (COLOGNE, GERMANY)

Presentation: Empirical Comparative Legal Analysis: A Critical Review

UN-WIDER (HELSINKI, FINLAND)

Presentation: Into the Void: Governing Finance in Central and Eastern Europe

Oct. NYU LAW SCHOOL (FACULTY WORKSHOP)

Presentation: Governing Global Finance

UNIVERSITY OF PENNSYLVANIA LAW SCHOOL: TOBIN PROJECT WORKSHOP Presentation: Into the Void: Governing Finance in Central and Eastern Europe

Nov. IAB CONFERENCE ON RESEARCH FRONTIERS IN INTERNATIONAL BUSINESS

(Charleston, NC)

Presentation: Sovereign Wealth Funds and the Governance of Global Finance

Dec.: NBER CONFERENCE: CAPITALIZING CHINA, HONG KONG

Presentation: Governing China's Finances

SCHOOL OF TRANSNATIONAL LAW, SHENZHEN (PRC)

Presentation: Governing China's Finances

2008

Jan. REITI, TOKYO, JAPAN

Co-Presenter with Curtis Milhaupt "Law and Capitalism"

Mar. MAX PLANCK INSTITUTE, HAMBURG, GERMANY

Presentation: Training of Lawyers and Judges in Comparative Perspective: The Case of the US

YALE LAW SCHOOL, COMPARATIVE LAW SEMINAR

Presentation: Global Network Finance

Apr. MONTREAL UNIVERSITY LAW SCHOOL

Presentation: Assessing Legal Systems: Beyond the Efficiency Paradigm

SUFFOLK LAW SCHOOL

Presentation: Global Network Finance

May ANNUAL MEETING OF THE AMERICAN ASSOCIATION OF LAW AND ECONOMICS

Columbia Law School, New York

Presentation: Global Network Finance

CHICAGO UNIVERSITY LAW SCHOOL Presentation: Global Network Finance

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Jun. COMPARATIVE ECONOMICS FORUM (CLEFF), ANNUAL MEETING IN MADRID, SPAIN

Presentation: Global Network Finance

Jul. WORLD JUSTICE PROJECT (AMERICAN BAR FOUNDATION), VIENNA, AUSTRIA

Presentation: Social Norms, Rule of Law, and Gender Reality

Oct. ETHZ, UNIVERSITY OF ZURICH

Presentation: Law and Finance in Emerging Markets & Global Network Finance

University Of Amsterdam

Presentation: Global Network Finance

OXFORD UNIVERSITY

Presentation: Global Network Finance

2007

Jan. UNIVERSITY OF BASEL LAW SCHOOL, SWITZERLAND

Conference "Human Rights Values and International Business Transactions"

Presented on "Human Rights and Corporate Governance"

Apr. ANNUAL MEETING OF COMPARATIVE LAW AND ECONOMICS FORUM

Chaired session: The Future of Comparative Law and Economics

CONFERENCE IN HONOR OF PADMA DESAI, COLUMBIA UNIVERSITY

Presentation: Privatization and Market Creation as Lawmaking: Lessons from Post-Socialism

May ANNUAL MEETING OF THE AMERICAN ASSOCIATION FOR LAW AND ECONOMICS

Area organizer "Comparative Securities Regulation"

Chaired 2 sessions

Jun. AALS/ASIL MEETING IN VANCOUVER

Presentation: Lawyering Across Multiple Legal Orders

Oct. AMERICAN BAR FOUNDATION

World Justice Project meeting in Chicago

Presented draft research project on "Social Norms, Rule of Law, and Gender Reality"

Nov. EUROPEAN UNIVERSITY INSTITUTE, FLORENCE

Participated in Workshop on Accountability of private organizations and presented comments on

accountability of private for-profits

Dec. CAMBRIDGE, UK

CONFERENCE ON EVOLUTIONARY AND REFLEXIVE APPROACHES TO CORPORATE GOVERNANCE

Keynote speaker presenting "Legal Systems in Flux"

2006

Feb. UNIVERSITY OF PENNSYLVANIA, NYU LAW SCHOOL, STERN SCHOOL AND WHARTON:

ANNUAL CONFERENCE ON LAW AND FINANCE

Presentation of paper with Rainer Haselmann and Virkrant Vig: How Law Affects Lending

COLUMBIA UNIVERSITY, SEMINAR ON LAW, ECONOMICS AND FINANCE

Presentation of paper with Rainer Haselmann and Virkrant Vig: How Law Affects Lending

Mar. ETHZ, ZURICH AND WHARTON SCHOOL

Conference on Alternative Views of Corporate Governance

Member of Selection/Organization Committee

Apr. EBRD POLICY CONFERENCE IN TOKYO, JAPAN

Institutional Development, Market Integration and Growth in Emerging Markets

Presentation: Better Law, More Lending, Little External Firm Finance

Jun. INSTITUTE OF FINANCE, UNIVERSITY OF AMSTERDAM

Lectured and taught PhDs in Law and Finance Principles of Comparative Corporate Law

Oct. University of Toronto

Research Symposium on "Multijuralism"

Member of scientific committee

Nov. Conference in Honor of Mel Eisenberg, Columbia Law School.

Presentation: Disney in Duesseldorf

2005

Feb. CDAMS WORKSHOP: TOWARDS AN INTERDISCIPLINARY VIEW OF COMPARATIVE LAW

Invited Speaker for Workshop, Kobe, Japan

Conducted two one-day workshops on "The Transplant Effect: A New Perspective of Comparative Law" and "Incomplete Law and Fiduciary Obligations"

May ANNUAL MEETING OF THE AMERICAN LAW & ECONOMICS ASSOCIATION

Presentation of paper with Rainer Haselmann and Vikrant Vig: Creditor Rights and Bank Behavior:

Evidence from Transition Economies

Jul. STRESA, ITALY

Keynote Speaker for First Stresa Lecture

Presentation: Incomplete Law

Sep. UNIVERSITY OF KOBE, JAPAN

International Conference on Comparative Law

Keynote address on Comparative Law in a Market Society

Oct. NEW YORK UNIVERSITY LAW SCHOOL

Conference on Modeling Law

Presentation: "Modeling Law's Multiple Functions"

2004

Jan. INTERNATIONAL WORKSHOP ON LAW AND ECONOMIC DEVELOPMENT, UNIVERSITY OF

HAMBURG

Presentation: The Limits of Conventional Law and Economics for Understanding Legal Institutional

Change

Apr. UNIVERSITY OF MICHIGAN LAW SCHOOL

Conference on Globalization, Law and Development

Co-Presented joint work with Daniel Berkowitz and Johannes Moenius: "Legal Institutions and

International Trade Flows

May ANNUAL CONFERENCE ON TRANSITION ECONOMICS IN HANOI, VIETNAM

Presentation: Law in Action: Understanding Creditor Rights in Transition Economies

ANNUAL MEETING OF THE AMERICAN LAW & ECONOMICS ASSOCIATION Co-Presented joint work with Chenggang Xu: Assessing Deterrence and Regulatory Failure in Emerging Financial Markets: Lessons from China

Sep. MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW AND THE CENTER FOR GERMAN & JAPANESE STUDIES, BERLIN Conference on "Changes of Governance in Europe, Japan, and the US" Presentation: The Scope of Government: Coordinated and Liberal Market Economies

Oct. ANNUAL MEETING OF THE ASSOCIATION FOR NEW INSTITUTIONAL ECONOMICS, TUSCON, AZ Presentation of joint work with Chenggang Xu: Enforcement Failure under Incomplete Law

2003

May WILLIAM DAVIDSON INSTITUTE, ANN ARBOR, MICHIGAN

Conference on Share Price Accuracy and Transition Economies, Co-presented joint work with Chenggang Xu; Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

GOVERNMENT DEPARTMENT, HARVARD UNIVERSITY
Political Institutions and Economic Policy Workshop
Presentation of joint work with Chenggang Xu: Deterrence and Regulatory Failure in Emerging
Financial Markets: Comparing Russia and China

- Sep. ANNUAL CONFERENCE OF THE AMERICAN LAW AND ECONOMICS ASSOCIATION Organizer and Chair of sections on Comparative Law and Legal History.
- Oct. THE GOVERNMENT OF CANADA AND THE UNIVERSITY OF TORONTO
 Conference on Bijuralism
 Co-presented joint work with Chenggang Xu: The Challenge of Incomplete Law How Different
 Legal Systems Respond to It
- Nov. ANNUAL MEETING OF THE AMERICAN ASSOCIATION OF SLAVIC STUDIES, TORONTO Co-presented joint work with Chenggang Xu: Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China
- Dec. HYDERABAD, INDIA. INDIAN SCHOOL OF BUSINESS AND THE AMSTERDAM CENTER FOR INTERNATIONAL FINANCE RESEARCH FINANCIAL MARKET DEVELOPMENT IN EMERGING AND TRANSITION ECONOMIES

 Co-presented joint work with Chenggang Xu; Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

2002

Mar. VANDERBILT UNIVERSITY SCHOOL OF LAW, NASHVILLE, TN

Seminar in Law and Economics

Presentation of joint work with Chenggang Xu: Incomplete Law; Law Enforcement under Incomplete Law

YALE UNIVERSITY SCHOOL OF LAW, NEW HAVEN, CT

Seminar in Law and Economics

Presentation of joint work with Chenggang Xu: Incomplete Law; Law Enforcement under Incomplete Law

COLUMBIA LAW SCHOOL, COLUMBIA UNIVERSITY, NEW YORK, NY

Seminar in Law and Economics

Presentation of joint work with Chenggang Xu: Law Enforcement under Incomplete Law

NYU LAW SCHOOL, NEW YORK, NY

Seminar in Law and Economics

Presentation of joint work with Chenggang Xu: Law Enforcement under Incomplete Law

Jun CENTER FOR ECONOMIC POLICY RESEARCH (CEPR) AND CEFIR, RIGA

Annual Conference on Transition Economies

Co-presented with Chenggang Xu: Law Enforcement and Financial Market Regulation in China and Russia

Sep. WORLD BANK, WASHINGTON D.C.

Workshop on Appropriate Institutions

Co-presented with Y. Keinan, J. Kleinheisterkamp and M. West: Innovation in Corporate Law

2001

Apr. COLUMBIA LAW SCHOOL: Global Markets, Domestic Institutions: Corporate Law and Governance in a New Era of Cross-Border Deals

Presentation with Chenggang Xu: Fiduciary Duties in (Transitional) Civil Law Jurisdictions: Lessons from the Incomplete Law Theory

Dec. THIRD ANNUAL GLOBAL DEVELOPMENT CONFERENCE, RIO DE JANEIRO, BRAZIL

Presentation: Understanding Law Reform

Participated in a Panel discussion on the "Global Research Project"

2000

Mar. G-24 TECHNICAL GROUP MEETING, LIMA, PERU

Presentation: The Standardization of Law and its Effect on Developing Economies

Jun. CONFERENCE ON CONSTITUTIONS IN TRANSITION ECONOMIES AT WISSENSCHAFTSKOLLEG,
BERLIN

Presentation: The Demand for Constitutional Law

MAX PLANCK INSTITUTE FOR INTERNATIONAL AND COMPARATIVE PRIVATE LAW, HAMBURG Co-organizer with Klaus J. Hopt and Christa Jessel-Holst of Conference on Company Groups in Transition Economies

Presentation: Company Groups in Transition Economies: A Case for Regulatory Intervention?

Jul. CENTER FOR ECONOMIC POLICY RESEARCH (CEPR) AND RECEP, MOSCOW Annual Conference on Transition Economies

Presentation: Law and Finance in Transition Economies (co-authored with Martin Raiser and Stanislaw Gelfer)

1999

Mar. IRIS AND BROOKINGS INSTITUTE, WASHINGTON, DC

Conference on The Value of Law in Transition Economies

Presentation: Law as a Determinant for Equity Market Development: The Experience of Transition Economies

Jun. ANNUAL BANK CONFERENCE ON DEVELOPMENT ECONOMICS IN EUROPE, THE WORLD BANK,

Conference on Governance, Equity and Global Markets

Presentation: The Evolution of Legal Institutions and Economic Regime Change

Sep. UNIVERSITY OF MICHIGAN AND THE DAVIDSON INSTITUTE, ANN ARBOR, MI

Conference on Corporate Governance: Lessons from Transition Economies

Commentator on papers given by Michael Heller & Meritt Fox; Bernard Black, Reinier Kraakman & Anna Tarassova

RESEARCH SEMINAR: THE WORLD BANK, WASHINGTON, DC

Presentation: Economic Development, Legality and the Transplant Effect (co-authored with Daniel Berkowitz and Jean-François Richard)

1998

May THE COUNCIL ON FOREIGN ECONOMIC RELATIONS CONFERENCE ON THE ROLE OF LAW AND LEGAL INSTITUTIONS IN ASIAN ECONOMIC DEVELOPMENT

Presentation: Findings of comparative studies of research study sponsored by the Asian Development Bank (co-authored with Philip Wellons et al.)

COLUMBIA LAW SCHOOL

Conference on Employees and Corporate Governance

Presentation: Codetermination in Germany: A Socio-Political Model with Governance

1997

May ASIAN DEVELOPMENT BANK, MANILA, PHILIPPINES

Conference on The Role of Law and Legal Institutions in Asian Economic Development Presented on: Findings of Comparative Studies of Research; research and study sponsored by the Asian Development Bank, and co-authored with Philip Wellons et al.

1994

Oct. RESEARCH CONFERENCE, THE WORLD BANK

Privatization and Corporate Governance in Eastern Europe,

Co-presented with Andrzej Rapaczynski and Roman Frydman: Voucher Funds and Mass Privatization in Russia

1993

Oct. HOOVER INSTITUTE & STANFORD UNIVERSITY CONFERENCE ON PRIVATIZATION AND

ENTERPRISE: REORGANIZATION IN RUSSIA

Presented on: Privatization and Corporate Governance in Russia

EDITORIAL FUNCTIONS

Journal of International Economic Law Member of Editorial Board (since 2021)

Journal of Institutional Economics Member of Editorial Board (2016-2017)

European Business Organization Law Review Member of Editorial Board

American Journal of Comparative Law Member of Editorial Board

Columbia Journal for European Law Member of Editorial Board

Columbia Journal of Transnational Law Member of the Board

Abstract Journal on www.ssrn.com: Law, Institutions and Development *Co-editor with Kevin Davis*

Economics of Transition (2011-2013) Member of Editorial Board

PUBLCIATIONS

Working Papers & Book Manuscripts

- 1. "The Laws of Capitalism", *Book manuscript*. Volume 1 in the LPE series edited by David Gerber and Jed Purdy, Yale University Press (forthcoming).
- 2. "Coded Power" (with Co-Pierre Georg). Book proposal

Publications in Journals (including accepted papers)

- 1. "Rechtsvergleichung zwischen Rechts- und Politischer Ökonomie". Article based on Rabels Lecture delivered in May 2021, forthcoming RabelsZ 2022
- 2. The Elasticity of Law, Socioeconomic Review (2021)

- 3. Ideology and Institutions in the Evolution of Capital a Review of Piketty's Capital and Ideology", Analyse & Kritik, Journal of Philosophy and Social Theory (2021)
- 4. Theorizing beyond the Code a Reply (to 4 reviews of my book), *Journal of Accounting, Economics, and Law: A Convivium (2021)*
- 5. Rule by Data The End of Markets, forthcoming in Law and Contemporary Problems (2020).
- 6. Statehood in the Digital Age, Constellations (2020). (German Translation in Westend. 2021)
- 7. The Value of Law, Theory and Society (2020).
- 8. Capital's Global Rule, *Constellations*, Special Issue celebrating the 25th anniversary of the Journal, September 2019.
- 9. From Territorial to Monetary Sovereignty, *Journal on Theoretical Inquiries into Law*, special issues on "Sovereignty and Property, June 2017.
- 10. Legal Institutionalism: Capitalism and the Constitutive Role of Law (with Simon Deakin, David Gindis, Geoffrey Hodgson, and Kainan Huang), *Journal of Comparative Economics* (2017)
- 11. Regulatory Capabilities (with Fabrizio Cafaggi), Journal of Regulation and Governance, 2015
- 12. Law in Finance, Editor's introduction to Special Issue with same title, *Journal of Comparative Economics* (2013) Issue 2, 310-315.
- 13. A Legal Theory of Finance, Journal of Comparative Economics (2013) Issue 2; 315-330.
- 14. Governing Interdependent Financial Systems: Lessons from the Vienna Initiative. *Journal of Globalization and Development* Vol 2(1), 2011.
- 15. With Kyle Hatton, Maximizing Autonomy in the Shadow of Great Powers: The Political Economy of Sovereign Wealth Funds, *Columbia Journal of Transnational Law*, Vol 50: 1 (2011).
- Contesting Property Rights: Towards an Integrated Theory of Institutional and System Change, Global Jurist, Vol. 11 (2011), Issue 2 (Frontiers), available at http://www.bepress.com/gj/vol11/iss2/art6/
- 17. The Emergence of a Transnational Real Estate Market A Comment, *Harvard Journal of International Law*, online version, October 2011, available at http://www.harvardilj.org/2011/10/online_53_pistor/
- 18. Statistische Rechtsvergleichung: Eine kritische Bestandsaufnahme (Empirical Comparative Legal Analysis: A critical Review), in Zeitschrift für vergleichende Rechtswissenschaften (2010).
- 19. Rethinking the "Law and Finance Paradigm", in <u>BYU Law Review</u>, (2009) No. 6, 1647.
- Global Network Finance: Institutional Innovation in the Global Market Place, <u>Journal of Comparative Economics</u> Vol. 37 (2009)

- 21. Sovereign Wealth Funds, Banks and Governments in the Global Crisis: Towards a New Governance of Global Finance?, <u>EBOR</u> (2009)
- 22. with Rainer Haselmann and Vikrant Vig, "How Law Affects Lending" Review of Financial Studies (2009)
- 23. Who Tolls the Bells for Firms? Tales from Transition Economies Columbia Journal for Transnational Law, 2008 No. 3, 612.
- 24. "Advancing the Rule of Law", Report on the International Rule of Law Symposium convened by the ABA in November 2005; Berkeley Journal of International Law (2007).
- 25. "The Law and the Non-Law", <u>University of Michigan International Law Journal</u> Vol. 27 N.o. 3 (2006).
- 26. with Dan Berkowitz and Johannes Moenius, "Trade, Law and Product Complexity", (2006) <u>Review of Economics and Statistics</u>, 2006 (March).
- 27. with Dan Berkowitz and Johannes Moenius, "Legal Institutions and International Trade Flows", University of Michigan International Law Review, (2005) Vol. 26 (1): 163-198.
- 28. with Chenggang Xu, "Governing Stock Markets in Transition Economies: Lessons from China" American Review of Law and Economics, (2005) Vol. 7 (1) pp. 184-210.
- 29. with Chenggang Xu, "Incomplete of Law" New York University Journal of International Law and Politics (2003) 35 (4): 931-1013.
- 30. with Yoram Keinan, Jan Kleinheisterkamp and Mark West, "Innovation in Corporate Law", 31 (4) Journal of Comparative Economics (2003), 676-694.
- 31. with Dan Berkowitz, Jean-François Richard, "The Transplant Effect", <u>American Journal of Comparative Law</u> (2003) 51 (2): 163-203.
- 32. with Dan Berkowitz, Jean-François Richard, "Economic Development, Legality, and the Transplant Effect," European Economic Review (2003) 47: 165-195.
- 33. with Yoram Keinan, Jan Kleinheisterkamp and Mark West, "Legal Evolution and the Transplant Effect", World Bank Research Observer (2003) 18 (1): 89-112.
- 34. with Yoram Keinan, Jan Kleinheisterkamp and Mark West, "The Evolution of Corporate Law", Pennsylvania Journal of International Economic Law (2002): 23 (4) 791-871.
- 35. "The Standardization of Law and Its Effect on Developing Economies," <u>American Journal of Comparative Law</u> (2002) 50: 101-134.
- 36. "The Demand for Constitutional Law," Constitutional Political Economy (2002) 13: 73-87.
- 37. with Klaus J. Hopt "Company Groups in Transition Economies: A Case for Regulatory Intervention?," <u>European Business Organization Law Review</u> (2001) 2: 1-43.

- 38. with Martin Raiser and Stanislaw Gelfer, "Law and Finance in Transition Economies," <u>The Economics of Transition</u> (2000) 8: 325-368.
- 39. "Patterns of Legal Change: Shareholder and Creditor Rights in Transition Economies," <u>European Business Organization Law Review</u> (2000) 1: 59-110.
- 40. "The Supply and Demand for Law: Comment on Hendley," <u>East European Constitutional Review</u> (1999) 8: 105-108
- 41. "Supply and Demand for Contract Enforcement in Russia: Courts, Arbitration, and Private Enforcement," Review of Central and East European Law (1996) 22: 55-87.

Books and edited Books

- 1. The Code of Capital: How the Law Creates Wealth and Inequality, Princeton University (2019).
 - Published translations: Complex Chinese, German, Italian, Spanish (Spain and Mexico) Forthcoming translations: Simple Chinese, French, Romanian, Slovenian
- 2. With Olivier De Schutter (eds.), Governing Essential Resources, Columbia University Press (2015)
- 3. With Simon Deakin, Legal Origin Theory, Edward Elgar, 2012.
- 4. With Albert Breton, Anne Des Ormeau, and Pierre Salmon, (Eds.), *Multijuralism: Manifestations, Causes, and Consequences*, Aldershot: Ashgate Publishing, 2008.
- 5. With Curtis Milhaupt, Law and Capitalism: What Corporate Crises Reveal about Legal Systems and Economic Development Around the World (Chicago University Press: 2008).
- 6. With George Bermann, Law and Governance in an Enlarged Europe. Hart Publishers, 2004.
- 7. Eigentumsreform mittels institutioneller Investoren: Eine rechtsökonomische Analyse der Massenprivatisierung in Rußland und der Tschechischen Republik, (Berlin: Duncker & Humblot, 2000).
- 8. with Philip Wellons, *The Role of Law and Legal Institutions in Asian Economic Development*, (Hong Kong: Oxford University Press, 1999).
- 9. with Jeffrey D. Sachs (eds.) Rule of Law and Economic Reform in Russia. Boulder, Col: Westview Press, 1997)

Chapters in Books (including forthcoming chapters)

- 1. The Right to Effective Self-Government, in Vicki Jackson and Yasmin Dawood, *Constitutionalism and the Right to Effective Government*, Cambridge University Press, forthcoming.
- 2. The Rise of Autonomous Financial Power, *Capital Claims*, Benjamin Braun and Kai Koddenbrock (eds.), *Routledge* forthcoming.

- 3. The Legal Construction of Financial Markets, Routledge Handbook of Financial Geography, ed. Janelle Knox-Hayes and Dariusz Wojcik (2019)
- 4. Regulating Financial Markets An LTF Perspective, in David Donald and Emilios Avgouleas (eds.), *The Political Economy of Financial Regulation*, Cambridge University Press (2018).
- 5. Corporate Legal Particularism, in Jean Cohen et al. (eds), Forms of Pluralism and Democratic Constitutionalism, Columbia University Press (2018)
- 6. Money's Legal Hierarchy, in Lisa Herzog (ed.), *Just Financial Markets?*, Oxford University Press (2017).
- 7. Governing Access to Essential Resources (with Olivier DeSchutter), Introduction to De Schutter and Pistor, *Governing Essential Resources*, Columbia University Press (2015).
- 8. Transnational Regulatory Regimes in Finance: A Comparative Analysis of their (Dis-) integrative effects, in Laszlo Bruszt and Gerry McDermott, *Transnational Integration Regimes*, Oxford University Press (2014)
- 9. Multinational Corporations as Regulators and Central Planners: Implications for Citizens' Voice, forthcoming in Gregg Urban (ed.), Corporations and Citizens, UPenn Press (2014).
- 10. Governing Global Finance: Towards Contractual Governance of Global Financial Markets, in Grundmann et al. *Contractual Governance*, De Gruyter (2014)
- 11. Governing China's Finance, in Randall Morck and Joseph Fan, *Capitalizing China* (2012), University of Chicago Press.
- 12. "Sovereign Wealth Funds and Global Finance", in Karl Sauvant et al., "Foreign Investment and Sovereign Wealth Funds" (2012), Oxford University Press.
- 13. Into the Void: Governing Finance in Central and Eastern Europe, forthcoming in Gerard Roland (ed.) "Twenty Years of Transition" (2012).
- 14. Host's Dilemma: Rethinking EU Banking Regulation in Light of the Global Crisis, in Festschrift für Klaus Hopt, (2010).
- 15. "Corporate Governance durch Mitbestimmung und Aufsichtsräte", in Peter Hommelhoff und Klaus J. Hopt *Handbuch Corporate Governance* (2nd Ed. 2010)
- 16. with Antara Haldar and Amrit Amirapu, "Social Norms, Rule of Law and Gender Reality" in Jim Heckmann et al. New Research on the Rule of Law, 2009, Routledge.
- 17. "Anlegerschutz im Binnenrecht der Publikumsgesellschaft" (Investor Protection and Internal Governance in Publicly Traded Firms), Festschrift für Klaus J. Hopt, 2008.
- 18. "Human Rights and Corporate Governance" in Schwenzer, Ingeborg et al *Ethic Values and International Business Transactions* (Conference proceedings, 2007).

- 19. "Legal Ground Rules in Coordinated and Liberal Market Economies", in Klaus Hopt, Eddy Wymeersch, Hideki Kanda, Harald Baum, *Corporate Governance in Context: Corporations, States and Markets in Europe, Japan, and the United States*, Oxford University Press, 2006.
- 20. with Chenggang Xu, "The Challenge of Incomplete Law and How Different Legal Systems respond to It", *Bijuralism*, Toronto, edited by Andre Breton and Anne des Ormeaux; Aspen Press, 2006.
- 21. "Enhancing Corporate Governance in the New Member States: Does EU Law Help?" in Bermann, George and Katharina Pistor *Law and Governance in an Enlarged Europe*, Hart Publishing (2004), 339-368.
- 22. with Chenggang Xu, "Beyond Law Enforcement: Financial Market Regulation in China and Russia", in Kornai, Janos and Susan Rose-Ackerman, *Building a Trustworthy State*, Palgrave: 2004.
- 23. with Chenggang Xu, "Fiduciary Duties in (Transitional) Civil Law Jurisdictions Lessons from the Incompleteness of Law Theory," in Mildhaupt, Curtis (ed.), *Global Markets, Domestic Institutions:*Corporate Governance in a New Era of Cross-Border Deals (New York: Columbia University Press, 2003), 77-106.
- 24. "Law as a Determinant for Equity Market Development: the Experience of Transition Economies", in Murell, Peter (ed.), The Value of Law in Transition Economies, Ann Arbor: University of Michigan Press, 2001: 249-287.
- 25. "The Evolution of Legal Institutions and Economic Regime Change," *Governance, Equity and Global Markets Proceedings of the Annual Bank Conference on Development Economics in Europe,* ed. Pleskovic et al. (Paris: La documentation Française, 2000), 119-128.
- 26. "Codetermination in Germany: A Socio-Political Model with Governance Externalities," *Employees and Corporate Governance*, ed. Blair, and Roe (Washington D.C.: Brookings Institute, 1999): 163-193.
- 27. "Aktien und Kredite als Instrumente der Unternehmensführung und Unternehmenskontrolle ein vergleichender Überblick," *Systemtransformation in Mittel- und Osteuropa und ihre Folgen für Banken, Börsen und Kreditsicherheiten*, ed. Drobnig et al. (Tübingen: Mohr Siebeck, 1998), pp. 109-134.
- 28. with Jeffrey D. Sachs "Introduction: Progress, Pitfalls, Scenarios, and Lost Opportunities," *The Rule of Law and Economic Reform in Russia*, ed. Sachs, and Pistor (Boulder, Co.: Westview Press, 1997): 1-21.
- 29. with Andrew Spicer, "Investment Funds in Mass Privatization and Beyond," *Between State and Market: Mass Privatization in Transition Economies*, ed. Lieberman, Nestor, and Desai (Washington, D.C.: The World Bank, 1997).
- 30. "Company Law and Corporate Governance in Russia," *The Rule of Law and Economic Reform in Russia*, ed. Sachs, and Pistor (Boulder, Co: Westview Press, 1997): 165-187.
- 31. with Roman Frydman and Andrzej Rapaczynski, "Investing in Insider-Dominated Firms: A Study of Russian Voucher Privatization Funds," *Corporate Governance in Central Europe and Russia*, ed. Frydman, Gray, and Rapaczynski (Budapest, London, New York: CEU Press, 1996) 1: 187-241.

- 32. with Joel Turkewitz, "Coping with Hydra State Ownership After Privatization," *Corporate Governance in Central Europe and Russia*, ed. Frydman, Gray, and Rapaczynski (Budapest, London, New York: CEU Press, 1996) 2: 192-246.
- 33. "Transfer of Property Rights in Eastern Europe," in *The New Palgrave on Economics and the Law*, ed. Newman (London, New York: MacMillan, 1998).
- 34. Privatization and Corporate Governance in Russia: An Empirical Study," *Privatization, Conversion and Enterprise Reform in Russia*, ed. McFaul, and Pelmutter (Boulder, Co: Westview Press, 1995): 69-84.