

KATHARINA PISTOR

MICHAEL I. SOVERN PROFESSOR OF LAW

COLUMBIA LAW SCHOOL
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123 4thStreet, S.E.
Washington, DC 20003

PERSONAL

Born: May 23, 1963 in Freiburg, Germany. Married to Carsten G. Bonnemann, MD.
Languages: German (native), English, Russian and French.

EMPLOYMENT

COLUMBIA LAW SCHOOL

Walter E. Meyer Research Professor in Law & Social Problems
For Academic Year 2014/15

Michael I. Sovern Professor of Law
September 2008 - Present

Professor of Law
January 2005 - Present

Associate Professor of Law
July 2001 – December 2004

KENNEDY SCHOOL OF GOVERNMENT, HARVARD UNIVERSITY

Assistant Professor for Public Policy
January 2000 – June 2001

MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW,
HAMBURG

Research Associate
1998 – 1999

HARVARD LAW SCHOOL

Lecturer on Law
Winter 1998 - 99

Lecturer on Law
1994 -1995

HARVARD INSTITUTE FOR INTERNATIONAL DEVELOPMENT

Research Associate
October 1995 – June 1998

CENTRAL EUROPEAN UNIVERSITY PRIVATIZATION PROJECT

Senior Research Fellow
1993-1995

VISITING POSITIONS

GOETHE UNIVERSITY FRANKFURT
SAFE Visiting Research Professor
November/December 2015

OXFORD UNIVERSITY
Visiting Research Professor
June 2015

LONDON SCHOOL OF ECONOMICS (UK)
Visiting Research Professor
March 2015

ST GALLEN UNIVERSITY (SWITZERLAND)

Visiting Professor
May 2014

FRANKFURT UNIVERSITY, PROGRAM ON THE LAW AND ECONOMICS OF MONEY AND
FINANCE

Visiting Professor
December 2012

INTERDISCIPLINARY CENTER, HERZILIYA (ISRAEL)

Visiting Professor
May 2010

NYU LAW SCHOOL

Visiting Professor
Fall Term 2009

EUROPEAN CORPORATE GOVERNANCE TRAINING NETWORK
First Summer School, Amsterdam 12-21 June 2006

Visiting Professor
June 12-14 2006 (course on comparative corporate law)

INSTITUTE FOR LAW AND FINANCE, FRANKFURT UNIVERSITY, GERMANY

Visiting Professor
December 2004/January 2005

UNIVERSITY OF PENNSYLVANIA LAW SCHOOL

Visiting Professor
Spring Semester 2004

STUDY CENTER AT GERZENSEE, SWITZERLAND

Visiting Professor
June, 2003

CENTER ON GLOBAL LEGAL TRANSFORMATION

COLUMBIA LAW SCHOOL, established in July 2010
Director

<http://blogs.law.columbia.edu/global-legal-transformation/>

EDUCATION

Dr. jur. (JSD)
1998
summa cum laude

UNIVERSITY OF MUNICH, FACULTY OF LAW
Dissertation: Property Rights Reform with Institutional Investors - A Legal and Economic Analysis of Mass Privatization in Russia and the Czech Republic (in German). Supervisor: Professor Dr. Dr. Klaus J. Hopt, Director Max Planck Institute for International and Comparative Law, Hamburg.

MPA
1992-1994

KENNEDY SCHOOL OF GOVERNMENT, HARVARD UNIVERSITY
Concentration on legal and economic aspects of transition economies.

Assessor
1989-1992
with high honors

STATE OF HAMBURG
Second Juridical State Examination following three years of practical legal training.

LL.M.
1988-1989
with distinction

UNIVERSITY OF LONDON
Specialization in comparative law with special emphasis on Chinese and Soviet law.

Juridical Degree
1982-1988
with honors

UNIVERSITY OF FREIBURG
First Juridical State Examination in German law.

AWARDS & SCHOLARSHIPS

2014 EUROPEAN CORPORATE GOVERNANCE INSTITUTE – ALLEN & OVERY
BEST PAPER PRIZE for the paper “A Legal Theory of Finance”, Journal of
Comparative Economics, 2013.

2012 MAX PLANCK RESEARCH AWARD ON INTERNATIONAL FINANCIAL

REGULATION sponsored by the Humboldt Foundation and the Max Planck Society

- 2003 HESSEL YNTEMA PRIZE FOR YOUNG SCHOLARS IN COMPARATIVE LAW
- 1992-1994 MCCLOY SCHOLAR, HARVARD UNIVERSITY
2 year Scholarship to Master Program at the Kennedy School of Government
- 1988-1989 GERMAN ACADEMIC EXCHANGE SERVICE (DAAD)
Scholarship for one year LLM program at London University
- 1983-1988 GERMAN NATIONAL SCHOLARSHIP FOUNDATION (STUDIENSTIFTUNG DES DEUTSCHEN VOLKES)
Scholarship of Excellence

HONORS & AFFILIATIONS

- 2015 MEMBER OF THE BERLIN-BRANDENBURG ACADEMY OF SCIENCE
- 2014/15 CO-DIRECTOR OF CORE PROJECT AT HARRIMAN CENTER (COLUMBIA UNIVERSITY) ON 25 YEARS OF TRANSITION
- SINCE 2014 PRESIDENT, WORLD INTERDISCIPLINARY NETWORK FOR INSTITUTIONAL RESEARCH
- SINCE 2013 MEMBER, BOARD OF SUPERVISORS, ITALIAN ACADEMY, COLUMBIA UNIVERSITY
- SINCE 2011 MEMBER, BOARD OF ADVISORS, BUCERIUS LAW SCHOOL, HAMBURG
- 2011 - 2014 MEMBER, BOARD OF DIRECTORS, EUROPEAN CORPORATE GOVERNANCE INSTITUTE
- SINCE 2008 FELLOW, NASDAQ PROGRAM IN THE LAW AND ECONOMICS OF CAPITAL MARKETS
- 2005- 2014 MEMBER OF THE COMMITTEE ON GLOBAL THOUGHT, COLUMBIA UNIVERSITY

- SINCE 2005 RESEARCH ASSOCIATE, CENTER OF ECONOMIC POLICY RESEARCH (CEPR), LONDON
- 2005-2007 ELECTED MEMBER OF THE BOARD, AMERICAN ASSOCIATION OF LAW AND ECONOMICS
- SINCE 2003 RESEARCH ASSOCIATE, EUROPEAN CORPORATE GOVERNANCE INSTITUTE
- SINCE 2001 AFFILIATE FACULTY, HARRIMAN INSTITUTE, COLUMBIA UNIVERSITY
- SINCE 1999 MEMBER OF THE COMPARATIVE LAW AND ECONOMICS FORUM (CLEF)

GRANTS

- 2013 National Science Foundation for Conference on Governance Access to Financial Resources
- 2013-2017 Max Planck Society and Humboldt Foundation. Total amount €750,000
- 2011- 2013 Institute for New Economic Thinking. SU\$200,000 for the Global Finance and Law Initiative
- Since 2013 Institute for New Economic Thinking US\$450,000 to co-fund GLawFiN

INVITED LECTURES, WORKSHOPS, AND CONFERENCES

- 2016
- Feb Berlin (Germany) Inauguration Lecture as new member of the BERLIN-BRANDENBURG ACADEMY OF SCIENCE: The Legal Code of Capital: Liquidity and Globalization
- Feb Dieter Heremans Fund Lecture in Law and Economics, 2016
- June Hong Kong. Conference on the Political Economy of Financial Regulation at the CHINESE UNIVERSITY OF HONG KONG. Keynote Lecture on “The Legal Hierarchy of Global Money”.
- June Paris (France), SORBONNE AND COLUMBIA UNIVERSITY. Conference on Pluralism. Presented paper on “Legal Particularism”

Sept. New Delhi, (India), NATIONAL INSTITUTE OF PUBLIC FINANCE AND POLICY. Lecture on “Financial Regulation under Incomplete Law”.

Oct BAYREUTH UNIVERSITY (Germany), Conference on Finance and Social Justice. Presented paper on “Money’s Legal Hierarchy and the Question of Social Justice”.

2015

Jan Meeting of Scientific Council of the INTERNATIONAL PANEL FOR SOCIAL PROGRESS (IPSP) in Paris (attended as member of the Scientific Council)

March Keynote Speaker at LONDON SCHOOL OF ECONOMICS (LSE) Event on “The Law, Finance and the Abyss”

March Co-organized event at SIDLEY & AUSTIN (NEW YORK) on the Legal Theory of Finance

April Organized Event on “Global Finance and Transitions” as part of the HARRIMAN INSTITUTE’S Core Project on 25 Years of Transition

May Organized Event on “To Stay or Not to Stay” with leading practitioners and policy advisors on the future of OTC Markets, COLUMBIA LAW SCHOOL

June Presentation at OXFORD UNIVERSITY: “The Recoding of Capital”

June Presentation at IMPERIAL COLLEGE LONDON: “Law and Liquidity”

Sept. WINIR Annual Meeting in Rio de Janeiro. Presentation on “Law and Liquidity”

Nov. Presentation at GOETHE UNIVERSITY FRANKFURT: “Law and Liquidity”

Dec. Presentation at ETHZ Zurich, “Law and Liquidity” and “A Code for the Globe”

Dec. Co-organized conference at Goethe University Frankfurt on “Finance between Liquidity and Insolvency”

2014

Jan Organized and taught in Winter School on Law and Finance at Columbia University’s Global Center in Paris

Organized workshop on “Justice and Finance” at Columbia University’s Global Center in Paris

March APU UNIVERSITY, BANGALORE, INDIA: Lecture on “Teaching Law and Development”

NATIONAL INSTITUTE FOR ADVANCED STUDIES & COLUMBIA’S GLOBAL CENTER FOR SOUTH ASIA

Organized Teach-ins on Governing Access to Essential Resources in Bangalore and Mumbai (India)

STUDIO X & COLUMBIA’S GLOBAL CENTER FOR SOUTH ASIA

Keynote lecture jointly with Anupama Rao on Land and Water Management,

Organized Roundtable on Governing Land and Water Resources.

April EUROPEAN CORPORATE GOVERNANCE INSTITUTE, BRUSSELS, BELGIUM
European Corporate Governance Institute, Brussels Belgium: Address on the Legal Theory of Finance to acknowledge Allen & Overy Prize (2014).

UNIVERSITY OF FRANKFURT

Keynote Address: “Law and Finance: New Directions” in celebration of the 100th anniversary of the university’s founding.

June CHICAGO FEDERAL RESERVE
The Legal Theory of Finance

COLUMBIA’S GLOBAL CENTER IN ISTANBUL, TURKEY
On the Tragedy of Exclusion

TRENTO, SUMMER SCHOOL ON FINANCIAL CRISIS
Lectures on Law and Finance Paradox and Law and Liquidity

July SOCIETY FOR THE ADVANCEMENT OF SOCIOECONOMICS
Keynote Speaker on “The Legal Foundations of Capitalism”

Sept. WORLD INTERDISCIPLINARY NETWORK FOR INSTITUTIONAL RESEARCH (WINIR)
Keynote Speaker: “The Dialectics of Law & Capitalism”

Oct. ECMI Conference: A New Action Plan for Europe’s Financial Market
Panelist: “Law & Liquidity”

2013

Feb CORNELL UNIVERSITY LAW SCHOOL

Conference on “The Changing Politics of Central Banks”
Panel discussant on Central banks and international governance

Mar COLUMBIA UNIVERSITY, CENTER ON ECONOMIC GOVERNANCE
Comments on the Hertie Report on Governance

May STANFORD UNIVERSITY LAW SCHOOL
Presentation to Faculty “Legal Theory of Finance”

Sept. TULANE LAW SCHOOL
Presentation to Faculty “Legal Theory of Finance”

Nov. SSPA, ROME
Presentation with Fabrizio Cafaggi, “Regulatory Capabilities”

Dec. SAO PAULO, BRAZIL
Presentation Book draft “The Euro: The Legal Construction of an Idea”

RIO DE JANEIRO, BRAZIL
Presentation with Curtis Milhaupt, “State Owned Enterprises in the 21st Century”

CENTER FOR ADVANCED STUDIES, MUNICH UNIVERSITY, GERMANY
Presentation Stabilization through Integration: Challenges for the Eurozone

2012

Feb. Interamerican Development Bank, Washington DC
2nd Conference on Transnational Regimes
Presentation: Transnational Integration Regimes in Finance

April INET 3rd Annual Conference, Berlin 11-12 April 2012
Presentation: Real vs. Imagined Markets: The Regulatory Challenges

May University of Pennsylvania: Program on Corporation and Citizens
Presentation: Multinational Corporations as Regulators and Central Planners:
Implications for Citizens’ Voice

SSPA in Rome, Italy: Co-Chair (with Fabrizio Cafaggi) of Conference on the
Distributional Effects of Transnational Regulation
Presentation: Regulatory Capabilities (with F. Cafaggi)

- High School of Economics, Moscow
 Conference on Open Access Orders
 Presentation: State Law in a Globalizing World: Control, Empowerment or Submission
- June INSTITUTE FOR GLOBAL LAW AND POLICY, Harvard Law School
 Proseminar on Heterodox Law and Development
 Presentation: Regulatory Capabilities (co-authored with F. Cafaggi)
- CLEF Annual Meeting, Berkeley
 Presentation: The Theoretical Foundations of Financial Regulation: What if it is not all about information costs?
- Oct UNIVERSITY OF LOUVAIN, BELGIUM
 Democracy and the Market, Shifting Balances, Shifting Perspectives
 Presentation : Towards a Legal Theory of Finance
- UNIVERSITY OF BONN, GERMANY
 Presentation : Towards a Legal Theory of Finance
- HUMBOLDT UNIVERSITY BERLIN, GERMANY
 Presentation: The Law and Finance Paradox
- HUMBOLDT FOUNDATION & MAX PLANCK SOCIETY, GERMANY
 Award speech: “Law and Economics: From the Economic Analysis of Law to the Legal Analysis of the Economy”
- Nov. CIGI & INET, Waterloo, Canada
 Young Scholar Initiative Conference
 Presentation: Dichotomies in Law and Finance
- Dec. University of Frankfurt, House of Finance, Germany
 Presentation: European Banking Union – the Hazards of Piecemeal Reform
- 2011
- April EUROPEAN UNIVERSITY INSTITUTE, FLORENCE, ITALY
 Conference on Transnational Integration Regimes
 Presentation: How (not) to Govern Transnational Integrated Markets
- June HILL FOUNDATION, THE HAGUE, NETHERLANDS
 Presentation: Perspectives on Private Regulation

SOCIETY FOR THE ADVANCEMENT OF SOCIOECONOMICS, MADRID, SPAIN
Presentation: The Global Finance and Law Initiative

July INTERNATIONAL ASSOCIATION OF ECONOMICS, BEIJING, CHINA
Annual Conference
Presentation: The Plasticity of Corporate Law

ASIAN LAW AND ECONOMICS ASSOCIATION, HONG KONG, CHINA
Keynote Address: Governing Interdependent Financial Markets: Challenges for Law and Economics

TAIPEI TAIWAN
Lecture at Stock Exchange: Corporate Governance in the Age of Globalization

Oct. PARIS
CONFERENCE ON SOVEREIGN WEALTH FUNDS
Panel on Transitional Economies in Northern Africa

ROME
KEYNOTE ADDRESS AT SSPA: Institutional Capabilities

EUI, FLORENCE
Presentation: Stabilizing Global Financial Markets

2010

Feb. HARVARD LAW SCHOOL (LAW & ECONOMICS SEMINAR)
Presentation: Host's Dilemma

March GERMAN ASSOCIATION ON LAW AND SOCIETY (BREMEN, GERMANY)
Presentation: Governing Global Finance

May EUROPEAN UNIVERSITY INSTITUTE (FLORENCE, ITALY)
Co-organizer of Workshop on Assessing Private Regulation

June CLEF, Yale Law School (New Haven)
Presentation: Governing Global Finance in an Interdependent World

CEPR, ECGI, EU PRESIDENCY, (MADRID, SPAIN)
Presentation: Host's Dilemma: Rethinking EU Banking Regulation in Light of the Global Crisis (*competitive selection*)

- Oct. HUMBOLDT UNIVERSITY, BERLIN, GERMANY
 Conference on Contract Governance
 Presentation: Governing Interdependent Financial Markets – Towards Contract Governance
- COLUMBIA UNIVERSITY: SOVEREIGN WEALTH FUNDS AND OTHER LONG TERM INVESTORS
 Presentation: Maximizing Autonomy in the Shadow of Great Powers: The Political Economy of Sovereign Wealth Funds
- COLUMBIA LAW SCHOOL, FACULTY LUNCH
 Presentation: Governing Financial Interdependence: Lessons from the Vienna Initiative
- Nov HARVARD LAW SCHOOL, SEMINAR ON LAW AND DEVELOPMENT
 Presentation: Resolving Contested Property Rights
- Dec. SACKLER SYMPOSIUM, NATIONAL ACADEMY OF SCIENCES, IRVINE CA
 Conference on Dynamics of Social, Political and Economic Institutions
 Presentation: Resolving Contested Property Rights – Beyond Institutionalism
- 2009
- Jan. UNIVERSITY OF VIRGINIA LAW SCHOOL
 Presentation: Sovereign Wealth Funds and Global Finance
- Feb. MIAMI LAW SCHOOL
 Presentation: Sovereign Wealth Funds and Global Finance
- MINNESOTA LAW SCHOOL
 Presentation: Sovereign Wealth Funds and Global Finance
- BRIGHAM YOUNG UNIVERSITY, UTAH
 Presentation: Law and Finance – A Critique
- Apr. NBER, CAMBRIDGE MA
 Pre-Conference “Capitalizing China”
 Presentation: Governing China’s finances
- May UNIVERSITY OF FRANKFURT, GERMANY
 Law and Economics of money and finance in times of financial crisis
 Presentation: Global Network Finance
- Jun. CHINA ECONOMIC SUMMER INSTITUTE, BEIJING

Presentation: Banking Reform and Bank Bail-outs in the Chinese Mirror

PEOPLES' BANK OF CHINA, BEIJING

Presentation: Incomplete Legal Integration: Implications for the Governance of Complex Financial Intermediaries

RENMIN UNIVERSITY SCHOOL OF LAW, BEIJING

Presentation: Banking Reform and Bank Bail-outs in the Chinese Mirror

Sept. GERMAN ASSOCIATION FOR COMPARATIVE LAW (COLOGNE, GERMANY)
Presentation: Empirical Comparative Legal Analysis: A Critical Review

UN-WIDER (HELSINKI, FINLAND)

Presentation: Into the Void: Governing Finance in Central and Eastern Europe

Oct. NYU LAW SCHOOL (FACULTY WORKSHOP)
Presentation: Governing Global Finance

UNIVERSITY OF PENNSYLVANIA LAW SCHOOL: TOBIN PROJECT WORKSHOP

Presentation: Into the Void: Governing Finance in Central and Eastern Europe

Nov. IAB CONFERENCE ON RESEARCH FRONTIERS IN INTERNATIONAL BUSINESS
(Charleston, NC)
Presentation: Sovereign Wealth Funds and the Governance of Global Finance

Dec.: NBER CONFERENCE: CAPITALIZING CHINA, HONG KONG
Presentation: Governing China's Finances

SCHOOL OF TRANSNATIONAL LAW, SHENZHEN (PRC)

Presentation: Governing China's Finances

2008

Jan. REITI, TOKYO, JAPAN
Co-Presenter with Curtis Milhaupt "Law and Capitalism"

Mar. MAX PLANCK INSTITUTE, HAMBURG, GERMANY
Presentation: Training of Lawyers and Judges in Comparative Perspective: The Case of the US

YALE LAW SCHOOL, COMPARATIVE LAW SEMINAR

Presentation: Global Network Finance

- Apr. MONTREAL UNIVERSITY LAW SCHOOL
Presentation: Assessing Legal Systems: Beyond the Efficiency Paradigm
- SUFFOLK LAW SCHOOL
Presentation: Global Network Finance
- May ANNUAL MEETING OF THE AMERICAN ASSOCIATION OF LAW AND ECONOMICS
Columbia Law School, New York
Presentation: Global Network Finance
- CHICAGO UNIVERSITY LAW SCHOOL
Presentation: Global Network Finance
- Jun. COMPARATIVE ECONOMICS FORUM (CLEFF), ANNUAL MEETING IN MADRID, SPAIN
Presentation: Global Network Finance
- Jul. WORLD JUSTICE PROJECT (AMERICAN BAR FOUNDATION), VIENNA, AUSTRIA
Presentation: Social Norms, Rule of Law, and Gender Reality
- Oct. ETHZ, UNIVERSITY OF ZURICH
Presentation: Law and Finance in Emerging Markets & Global Network Finance
- UNIVERSITY OF AMSTERDAM
Presentation: Global Network Finance
- OXFORD UNIVERSITY
Presentation: Global Network Finance
- 2007
- Jan. UNIVERSITY OF BASEL LAW SCHOOL, SWITZERLAND
Conference “Human Rights Values and International Business Transactions”
Presented on “Human Rights and Corporate Governance”
- Apr. ANNUAL MEETING OF COMPARATIVE LAW AND ECONOMICS FORUM
Chaired session: The Future of Comparative Law and Economics
- CONFERENCE IN HONOR OF PADMA DESAI, COLUMBIA UNIVERSITY
Presentation: Privatization and Market Creation as Lawmaking: Lessons from Post-Socialism
- May ANNUAL MEETING OF THE AMERICAN ASSOCIATION FOR LAW AND ECONOMICS

Area organizer “Comparative Securities Regulation”
Chaired 2 sessions

- Jun. AALS/ASIL MEETING IN VANCOUVER
Presentation: Lawyering Across Multiple Legal Orders
- Oct. AMERICAN BAR FOUNDATION
World Justice Project meeting in Chicago
Presented draft research project on “Social Norms, Rule of Law, and Gender Reality”
- Nov. EUROPEAN UNIVERSITY INSTITUTE, FLORENCE
Participated in Workshop on Accountability of private organizations and presented comments on accountability of private for-profits
- Dec. CAMBRIDGE, UK
CONFERENCE ON EVOLUTIONARY AND REFLEXIVE APPROACHES TO CORPORATE GOVERNANCE
Keynote speaker presenting “Legal Systems in Flux”
- 2006
- Feb. UNIVERSITY OF PENNSYLVANIA, NYU LAW SCHOOL, STERN SCHOOL AND WHARTON:
ANNUAL CONFERENCE ON LAW AND FINANCE
Presentation of paper with Rainer Haselmann and Virkrant Vig: How Law Affects Lending
- COLUMBIA UNIVERSITY, SEMINAR ON LAW, ECONOMICS AND FINANCE
Presentation of paper with Rainer Haselmann and Virkrant Vig: How Law Affects Lending
- Mar. ETHZ, ZURICH AND WHARTON SCHOOL
Conference on Alternative Views of Corporate Governance
Member of Selection/Organization Committee
- Apr. EBRD POLICY CONFERENCE IN TOKYO, JAPAN
Institutional Development, Market Integration and Growth in Emerging Markets
Presentation: Better Law, More Lending, Little External Firm Finance
- Jun. INSTITUTE OF FINANCE, UNIVERSITY OF AMSTERDAM
Lectured and taught PhDs in Law and Finance Principles of Comparative Corporate Law
- Oct. UNIVERSITY OF TORONTO

Research Symposium on “Multijuralism”
Member of scientific committee

Nov. CONFERENCE IN HONOR OF MEL EISENBERG, COLUMBIA LAW SCHOOL.
Presentation: Disney in Duesseldorf

2005

Feb. CDAMS WORKSHOP: TOWARDS AN INTERDISCIPLINARY VIEW OF COMPARATIVE LAW
Invited Speaker for Workshop, Kobe, Japan
Conducted two one-day workshops on “The Transplant Effect: A New Perspective of Comparative Law” and “Incomplete Law and Fiduciary Obligations”

May ANNUAL MEETING OF THE AMERICAN LAW & ECONOMICS ASSOCIATION
Presentation of paper with Rainer Haselmann and Vikrant Vig: Creditor Rights and Bank Behavior: Evidence from Transition Economies

Jul. STRESA, ITALY
Keynote Speaker for First Stresa Lecture
Presentation: Incomplete Law

Sep. UNIVERSITY OF KOBE, JAPAN
International Conference on Comparative Law
Keynote address on Comparative Law in a Market Society

Oct. NEW YORK UNIVERSITY LAW SCHOOL
Conference on Modeling Law
Presentation: “Modeling Law’s Multiple Functions”

2004

Jan. INTERNATIONAL WORKSHOP ON LAW AND ECONOMIC DEVELOPMENT, UNIVERSITY OF HAMBURG
Presentation: The Limits of Conventional Law and Economics for Understanding Legal Institutional Change

Apr. UNIVERSITY OF MICHIGAN LAW SCHOOL
Conference on Globalization, Law and Development
Co-Presented joint work with Daniel Berkowitz and Johannes Moenius: “Legal Institutions and International Trade Flows

May ANNUAL CONFERENCE ON TRANSITION ECONOMICS IN HANOI, VIETNAM

Presentation: Law in Action: Understanding Creditor Rights in Transition Economies

ANNUAL MEETING OF THE AMERICAN LAW & ECONOMICS ASSOCIATION
Co-Presented joint work with Chenggang Xu: Assessing Deterrence and Regulatory Failure in Emerging Financial Markets: Lessons from China

Sep. MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW AND THE CENTER FOR GERMAN & JAPANESE STUDIES, BERLIN
Conference on “Changes of Governance in Europe, Japan, and the US”
Presentation: The Scope of Government: Coordinated and Liberal Market Economies

Oct. ANNUAL MEETING OF THE ASSOCIATION FOR NEW INSTITUTIONAL ECONOMICS, TUSCON, AZ
Presentation of joint work with Chenggang Xu: Enforcement Failure under Incomplete Law

2003

May WILLIAM DAVIDSON INSTITUTE, ANN ARBOR, MICHIGAN
Conference on Share Price Accuracy and Transition Economies,
Co-presented joint work with Chenggang Xu; Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

GOVERNMENT DEPARTMENT, HARVARD UNIVERSITY
Political Institutions and Economic Policy Workshop
Presentation of joint work with Chenggang Xu: Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

Sep. ANNUAL CONFERENCE OF THE AMERICAN LAW AND ECONOMICS ASSOCIATION
Organizer and Chair of sections on Comparative Law and Legal History.

Oct. THE GOVERNMENT OF CANADA AND THE UNIVERSITY OF TORONTO
Conference on Bijuralism
Co-presented joint work with Chenggang Xu: The Challenge of Incomplete Law – How Different Legal Systems Respond to It

Nov. ANNUAL MEETING OF THE AMERICAN ASSOCIATION OF SLAVIC STUDIES, TORONTO
Co-presented joint work with Chenggang Xu: Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

Dec. HYDERABAD, INDIA. INDIAN SCHOOL OF BUSINESS AND THE AMSTERDAM CENTER FOR INTERNATIONAL FINANCE RESEARCH FINANCIAL MARKET DEVELOPMENT IN EMERGING AND TRANSITION ECONOMIES

Co-presented joint work with Chenggang Xu; Deterrence and Regulatory Failure in Emerging Financial Markets: Comparing Russia and China

2002

Mar. VANDERBILT UNIVERSITY SCHOOL OF LAW, NASHVILLE, TN
Seminar in Law and Economics
Presentation of joint work with Chenggang Xu: Incomplete Law; Law Enforcement under Incomplete Law

YALE UNIVERSITY SCHOOL OF LAW, NEW HAVEN, CT
Seminar in Law and Economics
Presentation of joint work with Chenggang Xu: Incomplete Law; Law Enforcement under Incomplete Law

COLUMBIA LAW SCHOOL, COLUMBIA UNIVERSITY, NEW YORK, NY
Seminar in Law and Economics
Presentation of joint work with Chenggang Xu: Law Enforcement under Incomplete Law

NYU LAW SCHOOL, NEW YORK, NY
Seminar in Law and Economics
Presentation of joint work with Chenggang Xu: Law Enforcement under Incomplete Law

Jun CENTER FOR ECONOMIC POLICY RESEARCH (CEPR) AND CEFIR, RIGA
Annual Conference on Transition Economies
Co-presented with Chenggang Xu: Law Enforcement and Financial Market Regulation in China and Russia

Sep. WORLD BANK, WASHINGTON D.C.
Workshop on Appropriate Institutions
Co-presented with Y. Keinan, J. Kleinheisterkamp and M. West: Innovation in Corporate Law

2001

Apr. COLUMBIA LAW SCHOOL: Global Markets, Domestic Institutions: Corporate Law and Governance in a New Era of Cross-Border Deals
Presentation with Chenggang Xu: Fiduciary Duties in (Transitional) Civil Law Jurisdictions: Lessons from the Incomplete Law Theory

Dec. THIRD ANNUAL GLOBAL DEVELOPMENT CONFERENCE, RIO DE JANEIRO, BRAZIL

Presentation: Understanding Law Reform
Participated in a Panel discussion on the “Global Research Project”

2000

- Mar. G-24 TECHNICAL GROUP MEETING, LIMA, PERU
Presentation: The Standardization of Law and its Effect on Developing Economies
- Jun. CONFERENCE ON CONSTITUTIONS IN TRANSITION ECONOMIES AT WISSENSCHAFTSKOLLEG,
BERLIN
Presentation: The Demand for Constitutional Law
- MAX PLANCK INSTITUTE FOR INTERNATIONAL AND COMPARATIVE PRIVATE LAW,
HAMBURG
Co-organizer with Klaus J. Hopt and Christa Jessel-Holst of Conference on Company
Groups in Transition Economies
Presentation: Company Groups in Transition Economies: A Case for Regulatory
Intervention?
- Jul. CENTER FOR ECONOMIC POLICY RESEARCH (CEPR) AND RECEP, MOSCOW
Annual Conference on Transition Economies
Presentation: Law and Finance in Transition Economies (co-authored with Martin Raiser
and Stanislaw Gelfer)

1999

- Mar. IRIS AND BROOKINGS INSTITUTE, WASHINGTON, DC
Conference on The Value of Law in Transition Economies
Presentation: Law as a Determinant for Equity Market Development: The Experience of
Transition Economies
- Jun. ANNUAL BANK CONFERENCE ON DEVELOPMENT ECONOMICS IN EUROPE, THE WORLD
BANK, PARIS
Conference on Governance, Equity and Global Markets
Presentation: The Evolution of Legal Institutions and Economic Regime Change
- Sep. UNIVERSITY OF MICHIGAN AND THE DAVIDSON INSTITUTE, ANN ARBOR, MI
Conference on Corporate Governance: Lessons from Transition Economies
Commentator on papers given by Michael Heller & Meritt Fox; Bernard Black, Reinier
Kraakman & Anna Tarassova

RESEARCH SEMINAR: THE WORLD BANK, WASHINGTON, DC

Presentation: Economic Development, Legality and the Transplant Effect (co-authored with Daniel Berkowitz and Jean-François Richard)

1998

May THE COUNCIL ON FOREIGN ECONOMIC RELATIONS CONFERENCE ON THE ROLE OF LAW AND LEGAL INSTITUTIONS IN ASIAN ECONOMIC DEVELOPMENT

Presentation: Findings of comparative studies of research study sponsored by the Asian Development Bank (co-authored with Philip Wellons et al.)

COLUMBIA LAW SCHOOL

Conference on Employees and Corporate Governance

Presentation: Codetermination in Germany: A Socio-Political Model with Governance

1997

May ASIAN DEVELOPMENT BANK, MANILA, PHILIPPINES

Conference on The Role of Law and Legal Institutions in Asian Economic Development

Presented on: Findings of Comparative Studies of Research; research and study sponsored by the Asian Development Bank, and co-authored with Philip Wellons et al.

1994

Oct. RESEARCH CONFERENCE, THE WORLD BANK

Privatization and Corporate Governance in Eastern Europe,

Co-presented with Andrzej Rapaczynski and Roman Frydman: Voucher Funds and Mass Privatization in Russia

1993

Oct. HOOVER INSTITUTE & STANFORD UNIVERSITY CONFERENCE ON PRIVATIZATION AND ENTERPRISE: REORGANIZATION IN RUSSIA

Presented on: Privatization and Corporate Governance in Russia

EDITORIAL FUNCTIONS

Journal of Institutional Economics

Member of Editorial Board (since 2016)

European Business Organization Law Review
Member of Editorial Board

American Journal of Comparative Law
Member of Editorial Board

Columbia Journal for European Law
Member of Editorial Board

Columbia Journal of Transnational Law
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Abstract Journal on www.ssrn.com: Law, Institutions and Development
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Economics of Transition (2011-2013)
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PUBLICATIONS

Working Papers & Projects

1. The Code of Capital (book project in progress)
2. Minting Property Rights (with Freya Irani), working paper

Publications in Journals (including accepted papers)

1. From Territorial to Monetary Sovereignty, *Journal on Theoretical Inquiries into Law*, special issues on “Sovereignty and Property, forthcoming 2017.
2. Regulatory Capabilities (with Fabrizio Cafaggi), *Journal of Regulation and Governance*, 2015
3. Legal Institutionalism: Capitalism and the Constitutive Role of Law (with Simon Deakin, David Gindis, Geoffrey Hodgson, and Kainan Huang), *Journal of Comparative Economics* (2014)
4. Law in Finance, Editor’s introduction to Special Issue with same title, *Journal of Comparative Economics* (2013) Issue 2, 310-315.
5. A Legal Theory of Global Finance, forthcoming in *Journal of Comparative Economics*

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7. With Kyle Hatton, Maximizing Autonomy in the Shadow of Great Powers: The Political Economy of Sovereign Wealth Funds, *Columbia Journal of Transnational Law*, Vol 50: 1 (2011).
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10. Statistische Rechtsvergleichung: Eine kritische Bestandsaufnahme (Empirical Comparative Legal Analysis: A critical Review), in Zeitschrift für vergleichende Rechtswissenschaften (2010).
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21. with Chenggang Xu, "Incomplete of Law" New York University Journal of International Law and Politics (2003) 35 (4): 931-1013.
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